

If you plan to buy or sell publicly traded securities within a Community National Bank (CNB) IRA, you will need to establish a brokerage account with your broker/dealer.

1. DVP (DELIVERY VS PAYMENT) BROKERAGE ACCOUNT

Proper registration and delivery instructions are imperative to allow timely settlement of any DVP (delivery/receive vs payment) trades. When the brokerage account is established correctly, we will receive notification and affirm settlement of any trades you place.

The following instructions must be given to your broker/dealer to enable the brokerage account to be set up properly. (It may be helpful to attach a copy of these instructions to the new brokerage account application.)

- **ACCOUNT REGISTRATION FORMAT:**

Community National Bank CUST
FBO (Account Owner) Acct # _____
225 Main Street, PO Box 225
Seneca, KS 66538
Tax ID #48-0976372

- **DVP INSTRUCTIONS:**

Depository Trust Company (DTC) Number: **2803**
Agent ID Number: **26645** (US Bank)
Institutional ID Number: **90082** (Community National Bank)



Internal Account Number at Agent: **19-1325**

(The "dash" in 19-1325 MUST be included to ensure timely settlement of your trade!)

- **FAX A COPY OF THE TRADE TICKET TO CNB AT 785-336-2214**

2. RETAIL BROKERAGE ACCOUNT - FOR IRAs ONLY

For IRAs with CNB as custodian, you may establish a retail brokerage account through your broker/dealer. CNB will serve as IRA custodian, as reflected in the account registration shown below, however the account type should be established as an "individual account".

ACCOUNT REGISTRATION FORMAT:

Community National Bank CUST
FBO (Account Owner) IRA # _____
225 Main Street, PO Box 225
Seneca, KS 66538
Tax ID #48-0976372

Note: Please send a snapshot of the account registration by fax or email to 785-336-2214 or settlements@cnbcustody.com prior to any trading or requesting movement of shares or cash.

IMPORTANT NOTE: The client must receive statements, proxies, confirms, prospectuses, trade tickets, etc. at their home address. Community National Bank must receive duplicate statements and any funds leaving the account must be made payable and mailed to Community National Bank.

To initiate a transfer of cash from the CNB savings portion of an IRA to a brokerage account, CNB must receive a copy of the account registration. Once CNB funds the brokerage account, you or your authorized representative will place the trades directly with the brokerage firm.

Community National Bank will not sign the brokerage account application due to the customer's personal data and arbitration clauses. We will, however, send a completed W-9 (certification of tax identification number) on request.

Community National Bank is not responsible for timely settlement of trades if the brokerage account is set up incorrectly.